

Exhibit 300: Capital Asset Plan and Business Case Summary

Part I: Summary Information And Justification (All Capital Assets)

Section A: Overview (All Capital Assets)

1. **Date of Submission:** 2010-03-19 16:07:32

2. **Agency:** 016

3. **Bureau:** 00

4. **Name of this Investment:** Health Information Technology - Health IT

5. **Unique Project (Investment) Identifier:** 016-00-01-03-01-2144-00

6. **What kind of investment will this be in FY 2011?:** Mixed Life Cycle

- Planning
- Full Acquisition
- Operations and Maintenance
- Mixed Life Cycle
- Multi-Agency Collaboration

7. **What was the first budget year this investment was submitted to OMB? ***

8. **Provide a brief summary and justification for this investment, including a brief description of how this closes in part or in whole an identified agency performance gap; this description may include links to relevant information which should include relevant GAO reports, and links to relevant findings of independent audits.**

Millions of Americans annually file for disability benefits through the Social Security Administration (SSA). Once a disability benefits claim is filed, SSA contacts the healthcare provider of the claimant for supporting documentation to make a decision on the claim. This is an arduous process because most healthcare providers use paper-based medical records or highly customized electronic medical record systems. It is widely recognized that this medical evidence development process could be made more efficient with the introduction of Health Information Technology (HIT). The Medical Evidence Gathering and Analysis through Health Information Technology (MEGAHIT) system automates the medical evidence request, collection and data analysis processes for disability determination through its system-to-system data transmission and analysis engine to significantly improve the speed with which disability claims are processed. Through MEGAHIT, the SSA IT system talks to the IT system of the health provider to request claimant medical evidence. The system of the health provider then generates a Medical Evidence Record (MER) that is transmitted to and reviewed by SSA for disability determination. Looking forward, HIT is expected to deliver Agency benefits of decreased costs through avoidance of consultative exams and a reduction in scanning and printing services, provider benefits of labor, printing and postage savings and claimant benefits of faster access to disability benefits and medical insurance coverage. In FY09, planning phases for the Office of Disability Adjudication and Review (ODAR) will allow HIT to support hearing and appeals claims. In FY10, the Personal Health Records (PHR) Planning & Analysis effort will continue to leverage access to PHRs as part of the claims intake process and HIT will expand its provider base and enhance its documents/templates, Extract document, fiscal process format and Management Information (MI) through ARRA-funded contracts that total \$24 million. In FY 2011, SSA will further integrate with the Disability Case Processing System (DCPS) as part of Pilot and Rollout activities, refine the Electronic Health Records (EHR) Repository and enhance business rules for the creation of extract documents that provide more information to examiners to facilitate claims fast-tracking and to refine decision support capabilities offered to the disability examiner.

- a. **Provide here the date of any approved rebaselining within the past year, the date for the most recent (or planned) alternatives analysis for this investment, and whether this investment has a risk management plan and risk register.**

9. Did the Agency’s Executive/Investment Committee approve this request? *

a. If "yes," what was the date of this approval? *

10. Contact information of Program/Project Manager?

- Name: *
- Phone Number: *
- Email: *

11. What project management qualifications does the Project Manager have? (per FAC-P/PM)? *

- Project manager has been validated according to FAC-PMPM or DAWIA criteria as qualified for this investment.
- Project manager qualifications according to FAC-P/PM or DAWIA criteria is under review for this investment.
- Project manager assigned to investment, but does not meet requirements according to FAC-P/OM or DAWIA criteria.
- Project manager assigned but qualification status review has not yet started.
- No project manager has yet been assigned to this investment.

12. If this investment is a financial management system, then please fill out the following as reported in the most recent financial systems inventory (FMSI):

Financial management system name(s)	System acronym	Unique Project Identifier (UPI) number
*	*	*

a. If this investment is a financial management system AND the investment is part of the core financial system then select the primary FFMIA compliance area that this investment addresses (choose only one): *

- computer system security requirement;
- internal control system requirement;
- core financial system requirement according to FSIO standards;
- Federal accounting standard;
- U.S. Government Standard General Ledger at the Transaction Level;
- this is a core financial system, but does not address a FFMIA compliance area;
- Not a core financial system; does not need to comply with FFMIA

Section B: Summary of Funding (Budget Authority for Capital Assets)

1.

Table 1: SUMMARY OF FUNDING FOR PROJECT PHASES (REPORTED IN MILLIONS) (Estimates for BY+1 and beyond are for planning purposes only and do not represent budget decisions)									
	PY1 and earlier	PY 2009	CY 2010	BY 2011	BY+1 2012	BY+2 2013	BY+3 2014	BY+4 and beyond	Total
Planning:	*	*	*	*	*	*	*	*	*
Acquisition:	*	*	*	*	*	*	*	*	*
Subtotal Planning & Acquisition:	*	*	*	*	*	*	*	*	*
Operations & Maintenance:	*	*	*	*	*	*	*	*	*
Disposition Costs (optional):	*	*	*	*	*	*	*	*	*
SUBTOTAL:	*	*	*	*	*	*	*	*	*
Government FTE Costs should not be included in the amounts provided above.									
Government FTE Costs	*	*	*	*	*	*	*	*	*
Number of FTE represented by Costs:	*	*	*	*	*	*	*	*	*
TOTAL(including FTE costs)	*	*	*	*	*	*	*	*	*

2. If the summary of funding has changed from the FY 2010 President’s Budget request, briefly explain those changes:

*

Section C: Acquisition/Contract Strategy (All Capital Assets)

1.

Table 1: Contracts/Task Orders Table

Contract or Task Order Number	Type of Contract/ Task Order (In accordance with FAR Part 16)	Has the contract been awarded (Y/N)	If so what is the date of the award? If not, what is the planned award date?	Start date of Contract/ Task Order	End date of Contract/ Task Order	Total Value of Contract/ Task Order (M)	Is this an Interagency Acquisition? (Y/N)	Is it performance based? (Y/N)	Competitively awarded? (Y/N)	What, if any, alternative financing option is being used? (ESPC, UESC, EUL, N/A)	Is EVM in the contract? (Y/N)
5-002-SS000560011	Task Based Indefinite Delivery/Indefinite Quantity (ID/IQ) Time & Materials (T&M) Task Order	Y	2008-09-30	2008-09-30	2009-09-29	\$0.8	*	*	*	*	*
6-820-SS00-05-60011	Task Based Indefinite Delivery/Indefinite Quantity (ID/IQ) Time & Materials (T&M) Task Order	Y	2009-09-30	2009-09-30	2010-09-29	\$0.5	*	*	*	*	*
Multi/SS00-10-60027	Firm Fixed Price (FFP)	Y	2010-02-01	2010-02-01	2011-09-30	\$17.4	*	*	*	*	*
6-821-SS00-05-60011	Firm Fixed Price (FFP)	Y	2010-02-04	2010-02-04	2010-09-29	\$1.9	*	*	*	*	*
Multi/SS00-08-40029	Blanket Purchase Agreement (BPA)	Y	2009-05-27	2009-05-27	2010-03-31	\$1.1	*	*	*	*	*
SS00-08-40027/GS-35F-4984H	Blanket Purchase Agreement (BPA)	Y	2009-04-20	2009-04-20	2010-06-30	\$5.5	*	*	*	*	*
Multi/SS00-07-60038	OPTION	Y	2009-08-27	2009-08-31	2010-08-30	\$1.5	*	*	*	*	*
SS00-09-31244/GS-35F-0009T	Blanket Purchase Agreement (BPA)	Y	2009-08-28	2009-08-31	2010-08-31	\$1.4	*	*	*	*	*
SS00-09-30776/GS-35F-0330J	Blanket Purchase Agreement (BPA)	Y	2009-06-29	2009-06-30	2010-03-15	\$0.3	*	*	*	*	*

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5-226-SS 00056001 1	Task Based Indefinite Delivery/Indefinite Quantity (ID/IQ) Time & Materials (T&M) Task Order	Y	2008-09-30	2008-09-30	2009-09-29	\$0.0	*	*	*	*	*
5-226-SS 00056001 1	Task Based Indefinite Delivery/Indefinite Quantity (ID/IQ) Time & Materials (T&M) Task Order	Y	2008-09-30	2008-09-30	2009-09-29	\$0.0	*	*	*	*	*
5-240-SS 00056001 1	Task Based Indefinite Delivery/Indefinite Quantity (ID/IQ) Time & Materials (T&M) Task Order	Y	2008-09-30	2008-09-30	2009-09-29	\$0.0	*	*	*	*	*
5-240-SS 00056001 1	Task Based Indefinite Delivery/Indefinite Quantity (ID/IQ) Time & Materials (T&M) Task Order	Y	2008-09-30	2008-09-30	2009-09-29	\$0.0	*	*	*	*	*
5-431-SS 00056001 1	Task Based Indefinite Delivery/Indefinite Quantity (ID/IQ) Time & Materials (T&M)	Y	2008-09-30	2008-09-30	2009-09-29	\$0.1	*	*	*	*	*

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5-540-SS 00056001 1	Task Based Indefinite Delivery/Indefinite Quantity (ID/IQ) Time & Materials (T&M) Task Order	Y	2008-09-30	2008-09-30	2009-09-29	\$0.0	*	*	*	*	*
5-640-SS 00056001 1	Task Based Indefinite Delivery/Indefinite Quantity (ID/IQ) Time & Materials (T&M) Task Order	Y	2008-09-30	2008-09-30	2009-09-29	\$0.8	*	*	*	*	*
5-821-SS 00056001 1	Task Based Indefinite Delivery/Indefinite Quantity (ID/IQ) Time & Materials (T&M) Task Order	Y	2008-09-30	2008-09-30	2009-09-29	\$1.4	*	*	*	*	*
5-822-SS 00056001 1	Task Based Indefinite Delivery/Indefinite Quantity (ID/IQ) Time & Materials (T&M) Task Order	Y	2008-09-30	2008-09-30	2009-09-29	\$0.0	*	*	*	*	*
5-881-SS 00056001 1	Task Based Indefinite Delivery/Indefinite Quantity (ID/IQ) Time &	Y	2008-09-30	2008-09-30	2009-09-29	\$0.0	*	*	*	*	*

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Materials (T&M) Task Order

2. If earned value is not required or will not be a contract requirement for any of the contracts or task orders above, explain why:

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3. Is there an acquisition plan which reflects the requirements of FAR Subpart 7.1 and has been approved in accordance with agency requirements? *

a.If "yes," what is the date? *

Section D: Performance Information (All Capital Assets)

Table 1: Performance Information Table

Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Grouping	Measurement Indicator	Baseline	Target	Actual Results
2009	Improve The Speed and Quality of Our Disability Process	*	*	Increase the percentage of disability claims processed using Health Information Technology	TBD - New Annual Performance Plan Measure	TBD - New Annual Performance Plan Measure	Baseline will be available in late 2010/early 2011.
2010	Improve The Speed and Quality of Our Disability Process	*	*	Increase the percentage of disability claims processed using Health Information Technology	TBD - New Annual Performance Plan Measure	TBD - New Annual Performance Plan Measure	Actual results will be made available 2011
2011	Improve The Speed and Quality of Our Disability Process	*	*	Increase the percentage of disability claims processed using Health Information Technology	TBD - New Annual Performance Plan Measure	TBD - New Annual Performance Plan Measure	Actual results will be made available 2012
2009	Eliminate Our Hearings Backlog and Prevent Its Recurrence	*	*	Increase the number of participating providers exchanging medical evidence within HIT	4	20	22 [81.8 % increase in number of participating providers]
2010	Eliminate Our Hearings Backlog and Prevent Its Recurrence	*	*	Increase the number of participating providers exchanging medical evidence within HIT	4	25	Actual results will be made available 2011
2011	Eliminate Our Hearings Backlog and Prevent Its Recurrence	*	*	Increase the number of participating providers exchanging medical evidence within HIT	4	35	Actual results will be made available 2012
2009	Improve Our Retiree and Other Core Services	*	*	Increase the number of business rules that are processed electronically	22	70	122 [82.0 % increase in number of business rules]
2010	Improve Our Retiree and Other Core Services	*	*	Increase the number of business rules that are processed electronically	22	90	Actual results will be made available 2011
2011	Improve Our Retiree and Other Core	*	*	Increase the number of business rules	22	100	Actual results will be made available 2012

Table 1: Performance Information Table

Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Grouping	Measurement Indicator	Baseline	Target	Actual Results
	Services			that are processed electronically			
2009	Improve The Speed and Quality of Our Disability Process	*	*	Minimize average processing time for initial disability claims to provide timely decisions	FY 2008 Actual 106 days	129 days (increase is due to a large expected increase in caseload)	101 [4.7 % reduction in average processing time]
2010	Improve The Speed and Quality of Our Disability Process	*	*	Minimize average processing time for initial disability claims to provide timely decisions	FY 2008 Actual 106 days	132 days (increase is due to a large expected increase in caseload)	Actual results will be made available 2011
2011	Improve The Speed and Quality of Our Disability Process	*	*	Minimize average processing time for initial disability claims to provide timely decisions	FY 2008 Actual 106 days	141 Days (increase is due to a large expected increase in caseload)	Actual results will be made available 2012

Part II: Planning, Acquisition And Performance Information

Section A: Cost and Schedule Performance (All Capital Assets)

1. Comparison of Actual Work Completed and Actual Costs to Current Approved Baseline

Description of Milestones	Planned Cost (\$M)	Actual Cost (\$M)	Planned Start Date	Actual Start Date	Planned Completion Date	Actual Completion Date	Planned Percent Complete	Actual Percent Complete
FY09 - HIT Classic Activities	\$3.0	\$3.3	2008-10-01	2008-10-01	2009-09-30	2009-09-30	100.00%	100.00%
FY10 - Data Repository	\$1.5	\$0.3	2009-10-01	2009-10-01	2010-09-30		52.00%	43.00%
FY10 - HIT Enhancements for FY10	\$4.1	\$1.5	2009-10-01	2009-10-01	2010-09-30		39.00%	32.00%
FY10 - HIT for ODAR	\$1.9	\$0.7	2009-10-01	2009-10-01	2010-09-30		23.00%	23.00%
FY10 - HIT ITS Costs (Demo Project, etc.)	\$11.3	\$4.1	2009-10-01	2009-10-01	2010-09-30		36.00%	36.00%
FY10 - HIT Release 2.0 (MEGAHIT Enhancements)	\$0.1	\$0.2	2009-10-01	2009-10-01	2010-09-30		48.00%	48.00%
FY10 - ARRA - Automation Tools, QA & Provider Support	\$6.0	\$0.5	2009-10-01	2009-10-01	2010-09-30		10.00%	9.00%
FY10 - ARRA - Health Care Provider Contracts	\$20.0	\$0.0	2009-10-01	2009-10-01	2010-09-30		0.00%	0.00%
FY10 - Management	\$1.6	\$0.8	2009-10-01	2009-10-01	2010-09-30		50.00%	50.00%
FY10 - non-DCS Support	\$0.6	\$0.3	2009-10-01	2009-10-01	2010-09-30		50.00%	50.00%
FY11 - HIT	*	*	2010-10-01		2011-09-30		0.00%	0.00%
FY09 - MEGA HIT Enhancements Rel 2	\$0.9	\$0.6	2008-10-01	2008-10-01	2009-09-30	2009-09-30	100.00%	100.00%
FY12 - HIT	*	*	2011-10-01		2012-09-30		0.00%	0.00%
FY13 - HIT	*	*	2012-10-01		2013-09-30		0.00%	0.00%
FY14 - HIT	*	*	2013-10-01		2014-09-30		0.00%	0.00%
FY09 - EHR Repository	\$0.1	\$0.0	2008-10-01	2008-10-01	2009-09-30	2009-09-30	100.00%	100.00%
FY09 - Personal Health Records (PHR)	\$0.2	\$0.0	2008-10-01	2008-10-01	2009-09-30	2009-09-30	100.00%	100.00%
FY09 - HIT Strategic Support	\$0.4	\$0.4	2008-10-01	2008-10-01	2009-09-30	2009-09-30	100.00%	100.00%

1. Comparison of Actual Work Completed and Actual Costs to Current Approved Baseline								
Description of Milestones	Planned Cost (\$M)	Actual Cost (\$M)	Planned Start Date	Actual Start Date	Planned Completion Date	Actual Completion Date	Planned Percent Complete	Actual Percent Complete
FY09 - HIT ITS Costs	\$11.0	\$3.6	2008-10-01	2008-10-01	2009-09-30	2009-09-30	100.00%	100.00%
FY09 - Management	\$0.0	\$0.0	2008-10-01	2008-10-01	2009-09-30	2009-09-30	0.00%	0.00%
FY09 - non-DCS Support	\$0.6	\$0.6	2008-10-01	2008-10-01	2009-09-30	2009-09-30	100.00%	100.00%
FY10 - HIT Strategic Support	\$1.1	\$0.4	2009-10-01	2009-10-01	2010-09-30		55.00%	51.00%

* - Indicates data is redacted.